9/27/06

Complete if Known Substitute for form 1449A/PTO 10/678,299 Application Number INFORMATION DISCLOSURE October 6, 2003 Filing Date
First Named Inventor STATEMENT BY APPLICANT Shunpci YAMAZAKI et al. (hor as many shorts as necessary) Art Unit 2814 Gene M. Munson Exerniner Name of 2 Anomey Docket Number 740756-2662

| | | OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS | | |
|--------------------|--------------------------|--|----|--|
| naminer nations | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the stricle (when appropriate), title of the item (book, magazine, journal, exist, symposium, casing, see,), dam, page(i), volume is see sember(i), published, city and/or courtry where published. | Τ' | |
| | | Openification, claims and drawings of U.S. Application No. 09/101,083, filed on July 8, | | |
| | | Specification, eleins and drawings of U.S. Application No. 11/407,145, filed on April 20, -2006. | | |
| | | Specification, eleino and drawings of U.S. Application No. 11/074,687, filed on March 9, 2005; | | present application a common references cite |
| | | Specification, claims and drawings of U.S. Application No. 11/258,033, filed on October 37,0005. | | of applicants |
| | | Specification relating and drawings of U.S. Application No. 19754,793, filed on January | | considerativa correspond US 6,355,942 |
| | | Specification, claims and drawings of U.S. Application No. 11/258,933, filed on October - 27, 2005. — | - | |
| | | Specification, claims and drawings of U.S. Application No. 99/432,662, filed on November 3, 1999—2. | | |
| | | Specification, claims and drawings of U.S. Application No. 00/508,185. | | filed after 6/04/199 |
| | | Specification, elaims and drawings of U.S. Application No. 99/997,396 | | † |
| - | | Specification, claims and drawings of U.S. Application No. 11/079,840, filed Merch 14, 2005. | | |
| | | | | |
| zeminer | | G, MUNSON Due Considered 27 October 2006 | | 1 |

^{*}EXAMINER: Initial if infurence considered, whether or not citation is in conformance with MPEP 409. Draw line through citation if not in conformance and not considered include copy of this form with next commanication to applicant.

*Applicant's unique citation designation number (optional). *See Kinds Codes of USPTO Parent Documents at 222 mapts, gov or MPEP 901.04. *Enter Office that issued the document, by the two-inters code (WIPO Sandard ST.3). *For Japanete potent occurrents to indication of the year of the migra of the Emperor was procedule terrial number of the patent document. *Lind of documents by the appropriate symbols as indicated on the document under WIPO Sandard ST.16 if possible. *Applicant is to place a check mark here if English language Translation is standed.

| Substitut | te for form I | 449A/PTO | | Complete | if Known | | , |
|------------------------|---------------|---|---|--|--|---|---|
| INE | DM 4 | TION DISCLOSUE | Application Numb | Application Number | | | · |
| STATEMENT BY APPLICANT | | | Ction Date | Filing Date First Named Inventor An Unit | | | , |
| | | | First Named Inves | | | KI et al. | |
| | | | Art Unit | | | | |
| | | | Exerviner Name | Exerciner Name | | | |
| Sheet 2 | | of 2 | Artomey Docket | Attorney Docket Number | | | |
| | | <u> </u> | U.S. PATENT DO | CUMENTS | | | |
| Exeminer Initials* | Cha No.1 | U.S. Pitert Document Pumber - Kand Code ² (g/zouve) | Publication Dass NIM-DD-YYYY | Name of Patemer or Applicate of Chal Door | Pages, Columns, Li Parages or Refer | aar, Where Relevant net Pigness Appear | |
| | | U3-6.977-394 | 12-12-2005 | Yamazaki et al. | | | } filed after 6/04/1999 |
| | + | U3-0;965,195 | 11-15-2005 | Yemazaki et al. | | | THE A ATCET TO !! |
| | + | US 6.436.517 | 07.30.2003 | Havekawe et el. | | | considered via |
| | | 116 6 234 863 | 08 14 2001 | Vamezaki et al | | | considered via c, 124, 539 Thed atter 6/04/199 |
| | 1 | US 6,730,966 | 05 01 2004 | Kovama | | | [15 filed after 6/04/199 |
| _ | + | -U6 6:201.536 | 03 30 3001 | Yemereki et el | | | Transferred via |
| | | | 13 26 2000 | Yamazaki et al | | | correspond (cvc) us 6,013,930 CVC US 5,895,935 |
| | | US 6,166,307 | | | | · · · · · · · · · · · · · · · · · · · | CVC 46 5, 895, 435 |
| | — | US-6,703,643 | 03-09-2004 | Yemazaki et el. | | | eve us 6,885,942 |
| | | US 6,011,607 | 01-01-2000 | Yomazaki et al- | | | |
| | | U3-2004/0196220 | 10-07-2004 | Kimrua ot el- | | | |
| | | US 6,346,179 B1 | 06 13 3001 | Yemede | | | 3 Filed after 6/04/1999 |
| | | U3-6,413,645 D1 | 07-03-2202 | Graff et al. | | | -3 1/16% ect -1 1/1/1/ |
| - | 1- | T | FOREIGN PATENT | • | 1 | | |
| Examinar Initials* | Cha No.1 | Foreign Patent Document Kind Co Country Coth ³ Number *Y Inc | | Name of Patentes or Applicant of Clark Docume | Pages, Colonna, Lines, V Reinvant Panages or Rei Pignes Appear | Le L | |
| _ | | | | | | | |
| | | | | | | | |
| | _ | | | | | | |
| | + | | | - | | | |
| | | | | | | + | |
| | | 1 | | 1. | | | |
| | | OTHER PR | OR ART - NON PATENT | LITERATURE DOCUMEN | TS | | |
| Examiner Indials | Car No. | | e author (in CAPITAL LETT m, journal, serial, symposius publisher, city and/o | _ | | | |
| | | TSUTSULET AL., "H DEVICES WITH IREE Japanese Journal of Ar | HUM COMPLES A | | | | |
| | | -December 15, 1999: | | published after 6/04/1999 | | | |
| | | BALDO ET AL., "VE DEVICES BASED OF Vol. 75, No. 1, pp. 1-6 | LECTROPHOSI | | | | |
| Examiner | <u> </u> | . MUNSON | | Date 27 | October 20 | | 1 1 |

English translation of priority Japanese application 11-158787 (filed 6/04/1999) present to 35 U.S.C. 119 was filed in parent application SN 09/578, 895.

On copy of 2/24/06 IDS, crossed through Japanese reference was considered via corresponding US 5, 151, 632 rather than "5, 515, 632" which was a misprint.

[&]quot;EXAMINER: labital if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next occusanciation to applicance.

"Applicant's instinger citation enginguation number (postposta)." See Miss's Codes of USPTO Parent Documents at 222 turpus gov or MPEP 901.04. "Enter Office that issued the document, by the two-terms code (WPO) Standard ST.3..." For Transness passes the smill number of the period the right of the Emperor must proceed the smill number of the pears of the Period Comments of the Peri

10/18/06

| Substitute (| for form I | OTTLAP | | | | Complete | if Know | rR | | |
|--|--|---|---------------------|---|---|---|-----------------|--|------------------------------------|--|
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT | | | | | Application Number | | | 8,299 | | 1 |
| | | | | | Filing Date First Named Inventor Art Unit | | October 6, 2003 | | | 1 |
| | | | | | | | Shun | pei YAMAZAK | l et al. | 1 |
| (use as many shorts as necessary) | | | | 2811 | | | | 1 | | |
| | | | | | Exeminer Name | | Gene M. Munson | | | 1 |
| Sheet | ı | બ | 1 | | Attorney Docket No | amber | 7407 | 56-2662 | | |
| | | | === | | S. PATENT DOC | TARATS | | | | 1 |
| Examiner leisth | Cha No.1 | U.S. Peners Number - Kard C | | \mathbf{I} | Publication Date M34-DD-YYYY | Name of Patentes o Applicant of Chief Door | | Pages, Columns, Linus Paranges or Reference | , Where Esternes Pigures Appear | |
| £973A | - | US-5,317. | 236 | 05 | 31-1994 | Zavracky, et al. | | | - | |
| 72 | $\overline{}$ | US 6,201 | | | 20-2001 | Yamazaki et al. | | | | correspond us 6,013,930 |
| | | US 6.412 | | | 02.2002 | Croffee of | | | | 19 carraspona us a, ora, no |
| _ | | UC 6,531,713 B4 | | 02 | 03 11 2003 | Yemezeki | | | filed after 6/04/1999 | |
| | 1 | -US 6,573 | | | 03-2003 | Yamazaki et al. | | | | seasidered via |
| | _ | -US-6,794. | | _ | 21-2004 | Guzuki et al. | | | | correspond us 6,407,431 Filed after 6/04/1999 |
| 497 | | US-6.863. | | | 08-1908-2005 | Miyashita, et al. | | + | | - +1140 atter 6/04/1999 |
| 22/14/ | _ | US-6,946. | | | 06-2005 | Komiya et al. | | | | filed after 6/04/1999 |
| | | | | Ť | | | | | | THEE ATTEN OF THE |
| | | | | | | | | | | |
| | | - | | + | | | | | | 4 |
| | | | , | | | | | <u> </u> | |] |
| | | | | FO | REIGN PATENT D | OCUMENTS | | | |] |
| Exeminar Initials | Can No.1 | Foreign Patent Document Kind Coch Constry Coch ^a Number (Finners) | | Publication Date Applicate of Ched Do Name of Publicate of Ched Do | | Occurrent Witter Bellevant Parasyster Bellevant Rigares T ⁴ Appear | | r | | |
| | | -JP-2000-077191 | | | | | | Abstract | L3 / | |
| | | EP 0 000 5 | OS A2 | \Rightarrow | 05-10-2000 | Yamazaki et al. | | | Abetreet | - > published , |
| | | EP 0 942 4 | 1 07 A 1 | | 09-15-1999 | Kimura | == | | Full- | published after 6/04/1999 |
| | _ | | | | | | _ | | | 1 ~ |
| | <u> </u> | | | | | ! | | | I | J |
| | | | OTHER P | RIOR A | RT – NON PATENT I | LITERATURE DOCUMEN | TS . | | |] |
| Examiner Initials | Cite No.' | Include name of the author (in CAPITAL LETTERS), tide of the stricts twhen appropriate, tide of the ferm (book, magazine, journal, serial, symposium, canking, each, date, page(4), volume-issue number(s), publisher, city and/or county where publisherd. | | | | | | | | |
| | | TSUTSULET AL., "HIGH QUANUM EFFICIENCY IN ORGANIC LIGHT EMITTING | | | | | | | | published atter 6/04/1999 |
| | - 1 | DEVICES WITH TRIDIUM COMPLEX AS A TRIPLET EMISSIVE CENTER," | | | | | | | | Non 6/04/1999 |
| 1 | - | Japanese Journal of Applied Physics, Vol. 38, Part 3, No. 128, pp. L1503 L1504, December 15, 1999. | | | | | | | | + # ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ |
| ŀ | | | | | | | | | | |
| | | Specification, claims and drawings of U.S. Application No. 09/500,465, filed on May 30. | | | | | | | | <u> </u> |
| | | 2000. | | | | | | | | } filed after 6/04/1999 |
| | \neg | Specification, claims and drawings of U.S. Application No. 99/587,369, filed on June 2, 2005. | | | | | | | | |
| | | | | | | | | | | 1 |
| | | | | | | | | | | |

10041164.1

English translation of priopity Japanese application 11-158787 (filed 6/04/1999) pursuant to 35 U.S.C. 119 was filed in parent application 5N 09/578, 895.

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 409. Draw line through citation if no in conformance and not considered. Include copy of this form with next communication to applicant.

*Applicant's unique relation designation number (optional). *See Kinds Codes of USPTO Pears Documents at 222 capts, gov or MPEP 901.04. *Ener Office that is used the document, by the two-inters code (WIPO Standard ST.3). *For Japaser papers documents, the indication of the year of the right of the Emptor and proceeds the serial number of the paint document. *Xind of documents by the appropriate symbols as indicated on the document ender WIPO Standard ST.16 if possible. *Applicant is so place a check meth here if English language Translation is starched.